



Docket No: **CA920030105US1**

IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: **Huras et al** Assignee: **International Business Machines Corporation**  
Application No.: **10/788,582** Customer No.: **24852**  
Confirmation No.: **6839** Group Art Unit: **2171**  
Filing Date: **02/27/2004** Examiner: **Unknown**  
Title **System, Method And Program For Assessing The Activity Level Of A Database Management System**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

June 23, 2004

Person mailing paper/fee: Jeanette Berry Souza

Signature: 

**INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

Respectfully Submitted,



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<b>Form PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	Attorney Docket No. <b>CA920030105US1</b>	Serial No. <b>10/788,582</b>
	Applicant (s): <b>Huras, et al.</b>	
	Filing Date: <b>February 27, 2004</b>	Group Art Unit: <b>2171</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA	2002/0035567A1	03/21/02	Shah et al	707	101	04/27/01
	AB	2002/0183972A1	12/05/02	Enck et al	702	186	06/01/01
	AC	6,453,269	09/17/02	Quernemoen	702	186	02/29/00
	AD	6,230,220	05/08/01	Cohen et al	710	52	07/31/98
	AE	6,070,202	05/30/00	Minkoff et al	710	56	05/11/98
	AF	5,867,664	02/02/99	Kosugi et al	395	200.64	12/13/96
	AG	2002/0116441A1	08/22/02	Ding et al	709	105	12/10/01

**FOREIGN PATENT DOCUMENTS**

		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA						
	BB						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

CA	Kern, M. R.; McBride, G. E.; Shackelford, D. M.; "Fixed Storage Allocation of Input-Output Buffers", IBM Technical Disclosure Bulletin V39, No.03-96, pp 75-76.
CB	Choi, A.; Ruschitzka, M.; "Managing Locality Sets: The Model and Fixed-Size Buffers", IEEE Transactions on Computers, Vol. 42, No.2, Feb 1993, pp 190-204.
CC	Wallace, R. B.; McKoy, T. E.; "A Performance Monitoring and Capacity Planning Methodology for Web Servers",
CD	Giacone, Glynn B.; "Oracle, Sybase, and Informix: Metrics for Sizing, Planning, Monitoring and Tuning", BGS Systems.
CE	Stadelmann, M. R.; "Modeling High-Performance Unix Servers", BGS Systems, inc.

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).



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	<b>Applicant (s): Huras, et al.</b>	
	<b>Filing Date: February 27, 2004</b>	<b>Group Art Unit:</b> <b>2171</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AH	2002/0049759A1	04/25/02	Christensen et al	707	10	04/26/01
	AI	6,304,904	10/16/01	Sathyanarayan et al	709	224	12/31/97
	AJ	5,809,546	09/15/98	Greenstein et al	711	164	05/23/96
	AK	2002/0175934	11/28/02	Hand et al	345	734	05/25/01
	AL						
	AM						
	AN						

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		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA						
	BB						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	CF	Osberg, B.; Rice, T.; "Status Calculation, An RDBMS Solution", IEEE Network Magazine, July 1990, pp 29-34.
	CG	Carlile, B.; "Linear Scalability on Decision Support Systems: Cray CS6400", CUG 1995 Fall Proceedings , pp 61-74
	CH	
	CI	
	CJ	

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